



TECHNICAL REPORT

TR-155

GPON ONU requirements for CPE

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Executive Summary

TR-155 specifies the requirements of a GPON ONU for CPE. The GPON ONU could be embedded in a Routing Gateway with GPON uplink or in a stand alone layer 2 GPON ONU.

1 Purpose and Scope

1.1 Purpose

TR-155 presents the requirements of a GPON ONU for CPE. The GPON ONU is a set of functions that could be embedded in a Routing Gateway with GPON uplink or it could be part of a GPON ONU without routing functions.

1.2 Scope

TR-155 specifies the requirements of a GPON ONU for CPE. The CPE may or may not embed routing capabilities, i.e. it may operate at layer 2 or at layer 3.

It inherits from the GPON ONU requirements of TR-156 [5], TR-124 [3], TR-142 [4], and provides additional requirements specific to a GPON ONU for CPE.

A subsection of TR-155 especially provides requirements for supporting a captive portal local to the GPON ONU, to allow the local setting of a registration ID. When the GPON ONU is not part of a Routing Gateway, the GPON ONU provides all connectivity necessary to terminals for accessing the local captive portal. These features are deactivated as soon as the ONU ranges successfully with the OLT.

2 References and Terminology

2.1 Conventions

In this Technical Report, several words are used to signify the requirements of the specification. These words are always capitalized. More information can be found in RFC 2119 [1].

MUST	This word, or the term “REQUIRED”, means that the definition is an absolute requirement of the specification.
MUST NOT	This phrase means that the definition is an absolute prohibition of the specification.
SHOULD	This word, or the adjective “RECOMMENDED”, means that there could exist valid reasons in particular circumstances to ignore this item, but the full implications need to be understood and carefully weighed before choosing a different course.
SHOULD NOT	This phrase, or the phrase "NOT RECOMMENDED" means that there could exist valid reasons in particular circumstances when the particular behavior is acceptable or even useful, but the full implications need to be understood and the case carefully weighed before implementing any behavior described with this label.
MAY	This word, or the adjective “OPTIONAL”, means that this item is one of an allowed set of alternatives. An implementation that does not include this option MUST be prepared to inter-operate with another implementation that does include the option.

2.2 References

The following references are of relevance to this Technical Report. At the time of publication, the editions indicated were valid. All references are subject to revision; users of this Technical Report are therefore encouraged to investigate the possibility of applying the most recent edition of the references listed below.

A list of currently valid Broadband Forum Technical Reports is published at www.broadband-forum.org.

Document	Title	Source	Year
[1] RFC 2119	<i>Key words for use in RFCs to Indicate Requirement Levels</i>	IETF	1997
[2] TR-069	<i>CPE WAN Management Protocol</i>	Broadband Forum	2010
[3] TR-124	<i>Functional Requirements for Broadband Residential Gateway Devices</i>	Broadband Forum	2010
[4] TR-142	<i>Framework for TR-069 enabled PON Devices</i>	Broadband Forum	2010
[5] TR-156	<i>Using GPON Access in the context of TR-101</i>	Broadband Forum	2010
[6] TR-181 Issue 2	<i>Device Data Model for TR-069</i>	Broadband Forum	2010
[7] G.984 series and their amendments	<i>Gigabit-capable Passive Optical Networks</i>	ITU-T	2008
[8] G.988 and its amendments	<i>ONU management and control interface (OMCI) specification</i>	ITU-T	2010

2.3 Definitions

The following terminology is used throughout this Technical Report.

Layer 2 GPON ONU	GPON CPE without IP routing capabilities.
Layer 3 GPON ONU	GPON CPE embedding IP routing capabilities.
OLT	Optical Line Termination (OLT): A device that terminates the common (root) endpoint of an ODN, implements a PON protocol, such as that defined by G.984 [7], and adapts PON PDUs for uplink communications over the provider service interface. The OLT provides management and maintenance functions for the subtended ODN and ONUs.
ONU	Optical Network Unit (ONU): A generic term denoting a device that terminates any one of the distributed (leaf) endpoints of an ODN, implements a PON protocol, and adapts PON PDUs to subscriber service interfaces. In some contexts, an ONU implies a multiple subscriber device.

2.4 Abbreviations

This Technical Report uses the following abbreviations:

ACS	Auto-Configuration Server
CPE	Customer Premises Equipment
CWMP	CPE WAN Management Protocol
FTTH	Fiber to the Home
GEM	Generic Encapsulation Method
GPON	Gigabit-capable Passive Optical Network
ME	Managed Entity
MTU	Multi-Tenant Unit – or Maximum Transmission Unit
ODN	Optical Distribution Network – as defined in G.984.1 [7]
OLT	Optical Line Termination – as defined in G.984.1 [7]
OMCI	ONU Management and Control Interface
ONU	Optical Network Unit – as defined in G.984.1 [7]
PLOAM	Physical Layer Operations, Administration and Maintenance – as defined in G.984.3 [7]
RG	Residential Gateway
TR	Technical Report
VLAN	Virtual Local Area Network

3 Technical Report Impact

3.1 Energy Efficiency

TR-155 has no impact on Energy Efficiency.

3.2 IPv6

TR-155 addresses IPv4 only.

3.3 Security

TR-155 has no impact on Security.

3.4 Privacy

Any issues regarding privacy are not affected by TR-155.

4 GPON ONU baseline requirements

These are the base requirements for a GPON ONU CPE:

- [R-1] The GPON ONU MUST comply with the GPON section of TR-124 [3] (IF.WAN.GPON.).
- [R-2] The GPON ONU MUST comply with the ONU requirements of TR-156 [5].

5 Remote management of the GPON ONU

Remote management of the GPON ONU complies with the requirements of Section 7.1/TR-156 [5] and TR-142 [4]. TR-142 [4] defines a framework for remote management of CPE over GPON and in particular clarifies the boundary and relation between TR-069 [2] and OMCI management domains:

- [R-3] The GPON ONU MUST be configured by the OLT, using OMCI, as defined in Section 6/TR-142.
- [R-4] Parameters of the GPON ONU may be monitored by an ACS, in this case the CPE MUST use CWMP as defined in TR-069 and the GPON data model defined in future revisions of TR-181 Issue 2 [6].

6 GPON ONU initial provisioning

As described in TR-156 [5], the primary method used for ranging between GPON ONU and GPON OLT is based on the ONU serial number. When the network provider does not wish to provision ONU serial numbers, he has to provision Registration ID instead. When the ONU serial number is not recognized by the OLT, the OLT requests the ONU to send its Registration ID. This method is defined in Section 7.2/TR-156 [5]. The “Registration ID”, identifies a subscriber. It is locally provisioned into the GPON ONU which serves that subscriber.

The ONU communicates the Registration ID to the OLT upon request of the latter, using the PLOAM channel G.984.3 [7]. The OLT provides a positive indication of the Registration ID verification result to the ONU via OMCI through the Credentials Status attribute G.988 [8]. Before the Registration ID verification result becomes available, the Credentials Status attribute indicates that the Registration ID verification is pending.

To allow the local provisioning of the Registration ID, the GPON ONU has to support different requirements depending on whether it is embedded in a Layer 3 CPE or in a Layer 2 CPE, as described hereafter:

6.1 GPON ONU embedded in a Layer 3 CPE

The requirements in this sub-section apply only when the GPON ONU is embedded in a Layer 3 CPE.

- [R-5] A captive portal as specified in TR-124 [3] (LAN.CAPTIVE.) MUST be embedded in the Layer 3 CPE.
- [R-6] The captive portal of the Layer 3 CPE MUST allow entering the Registration ID via a specific web page.
- [R-7] From the moment the user enters the Registration ID until the moment the positive verification result becomes available, the captive portal SHOULD display an appropriate pending status indication to the user while blocking attempts to re-enter the Registration ID.
- [R-8] The ONU MUST use the Credentials status attribute of the ONU-G ME to detect the result of registration verification as determined by the OLT.
- [R-9] After successful registration, the Layer 3 CPE MUST store the Registration ID in a non volatile memory and MUST deactivate the specific web page of the captive portal.
- [R-10] In case registration failure, the captive portal MUST invite the user to enter a new Registration ID.

6.2 GPON ONU embedded in a Layer 2 CPE

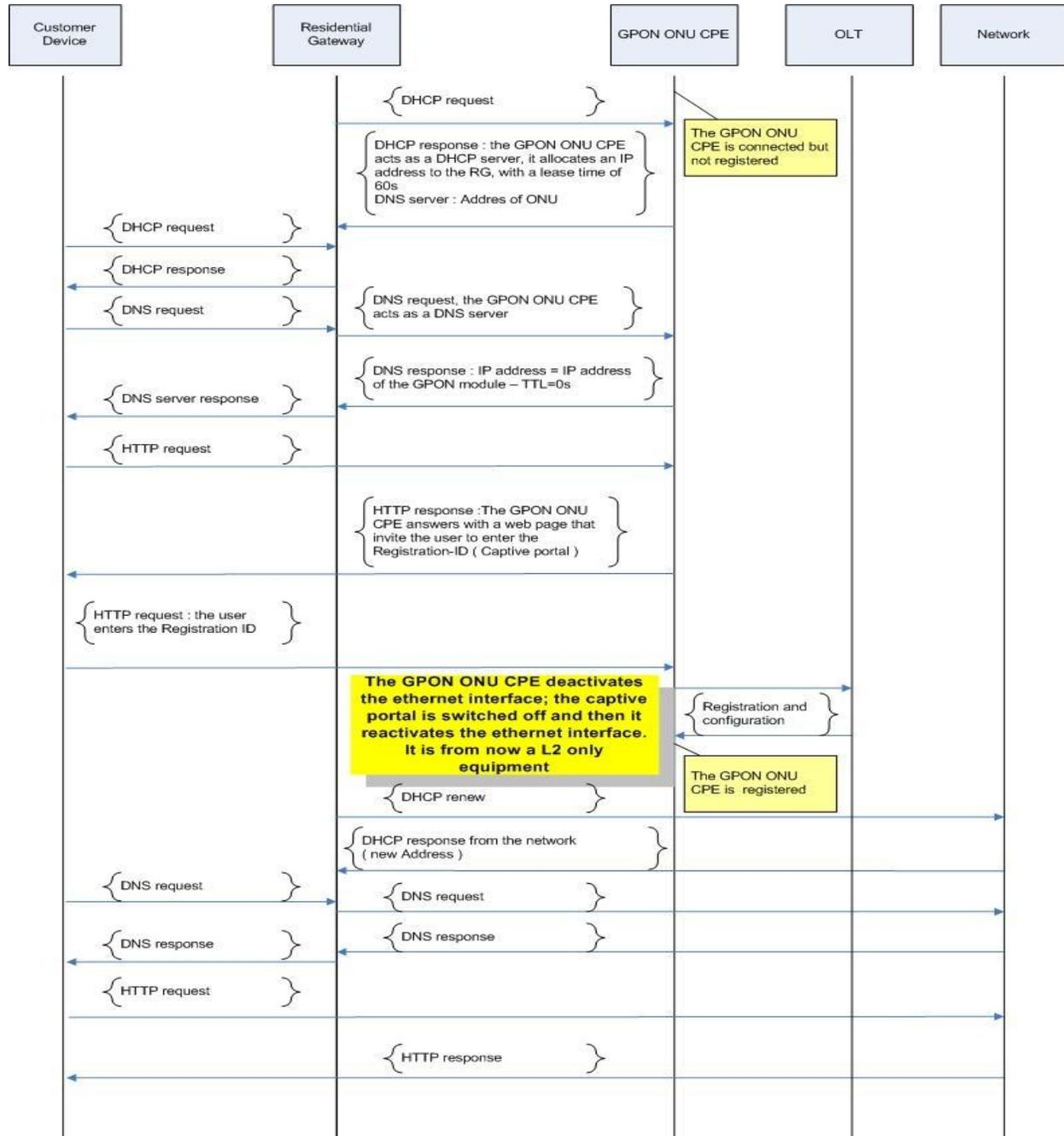
By definition a Layer 2 CPE does not have any Layer 3 capabilities but to allow communication with the captive portal for entering the Registration ID, the GPON ONU provides all features to provide the connectivity to the captive portal before the registration process. Those requirements are defined below:

- [R-11] The local configuration of the GPON ONU via the ONU LAN ports **MUST** be limited to initiate the GPON ONU with a Registration ID that will enable the ONU to be identified by the OLT.
- [R-12] The GPON ONU **MUST** implement one logical IP interface, accessible through the LAN port on which the end device is connected and the VLAN used by the end device.
- [R-13] The GPON ONU **MUST** activate the logical IP interface described at [R-12] only when it has not ever been ranged previously on any PON since factory setting.
- [R-14] The IP interface of the GPON ONU described at [R-12] **MUST** be pre-provisioned in factory with any public IP address.
Note that the usage of a public IP address and the related subnet (see [R-16]), avoiding conflict with LAN subnet in RGW connected use case, is possible because it's only locally used (there is no IP connectivity between the ONU and the Access Network).
- [R-15] The GPON ONU **MUST** implement a DHCP server, a PPPoE server, a DNS server and a HTTP server, accessible through any of the ONU LAN ports and any VLAN only when it has not ever been ranged previously on any PON since factory setting.
- [R-16] The DHCP server of the GPON ONU described at [R-15] **MUST** respond to any DHCP request and return an IP configuration with an IP subnet to which the GPON ONU's IP address described at [R-14] belongs, together with the GPON ONU's IP address described at [R-14] as a Domain Name Server and a Lease Time of 60 seconds.
- [R-17] The PPPoE server of the GPON ONU described at [R-15] **MUST** respond to any PPPoE request whatever the parameters the PPPoE request contain.
- [R-18] Using PPP/LCP and IPCP, the GPON ONU **MUST** provide an IP address and the GPON ONU's IP address described at [R-14] as a DNS server address without any authentication.

- [R-19] The DNS Server described at [R-15] of the GPON ONU MUST respond to any DNS request, whatever the targeted domain name it contains, with the GPON ONU's IP address, and a Time To Live of 0 seconds.
- [R-20] The HTTP server of the GPON ONU MUST respond to any HTTP request, whatever the targeted URL it contains, and return an HTML page, with no-cache directive, presenting a captive portal as specified in TR-124 [3] (LAN.CAPTIVE.) that provides a graphical interface allowing entering a Registration ID.
- [R-21] From the moment the user enters the Registration ID until the moment the positive verification result becomes available, the captive portal SHOULD display an appropriate pending status indication to the user while blocking attempts to re-enter the Registration ID.
- [R-22] The ONU MUST use the Credentials status attribute of the ONU-G ME to detect the result of registration verification as determined by the OLT.
- [R-23] After successful registration, the GPON ONU MUST terminate the PPPoE session (if applicable), switch off the DHCP and DNS servers and switch off and on all its LAN interfaces.
- [R-24] In case of registration failure, the captive portal of the GPON ONU MUST invite the user to enter a new Registration ID.
- [R-25] The GPON ONU MUST store the Registration ID in a non volatile memory.
- [R-26] The GPON ONU MUST implement a physical means to force the GPON ONU returning to its factory settings, i.e. allowing to flush its memory from the Registration ID.

Appendix I: example of a flow diagram

Following is an example of a flow diagram between a Customer Device, a CPE, a separate Layer 2 GPON ONU, an OLT, and DHCP, DNS and HTTP servers in an operator’s network.



External GPON ONU with DHCP Access

End of Broadband Forum Technical Report TR-155